

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Douglas W. Pocius; Michael D. Camras; Gloria E. Hofler

Assignee:

Lumileds Lighting U.S. LLC

Title:

Forming An Optical Element On The Surface Of A Light Emitting

Device For Improved Light Extraction

Serial No.:

09/823,841

Filing Date:

March 30, 2001

Examiner:

Alessandro V. Amari

Group Art Unit: 2872

Docket No.:

M-9728 US

(LUM-M-9728 US)

San Jose, California April 28, 2005

Mail Stop Issue Fee Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR § 1.97(i)**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying forms PTO/SB/08A and PTO/SB/08B are called to the attention of the Examiner for the above patent application. Copies of the cited foreign patent document and article are enclosed. Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

Citation of these documents shall not be construed as:

- an admission that the documents are necessarily prior art with respect to the instant invention;
  - 2. a representation that a search has been made; or
- 3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Serial No. 09/823,841

Applicants respectfully request the Examiner to place this letter and the enclosures in the USPTO file for the present application.

Cartification of Facsimile Transmission

I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Respectfully submitted,

Rachel V. Leiterman Attorney for Applicants

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Application Number	09/823,841		
Fiting Date	March 30, 2001		
First Named Inventor	Douglas W. Pocius		
Art Unit	2872		
Examiner Name	Alessandro V. Amari		
Attorney Docket Number	M-9728 US		

NOT CONSIDERED

				DOCUMENTS			
Examiner Inklais'	City No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Refevant Passages or Relevant Figures Appear		
		Number-Kind Code <sup>2 (F Invest)</sup>	<u> -                                   </u>		Figures Appear		
		<sup>US-</sup> 6,258,699 B1	7-10-2001	Chang et al.	4		
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Examiner Initials*	GRe No.1	Foreign Petent Document  Country Code <sup>a</sup> "Number" Yand Code <sup>b</sup> (Il known)	Publication Date MM-DD-YYYY	Name of Patentos or Applicant of Cited Document	Peges, Columns, Unos, Where Relevant Pesseges Or Relevant Figures Appear	
		JP55065473A	5-16-1980	NEC Corp.		
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